

NOTICE OF DEPOSIT & WITHDRAWAL ARRANGEMENT

Dear Valued Customer,

Date: 20 November 2017

Thank you very much for your continuous support to Opus Securities Limited (“OSL”).

To comply with the Guideline on Anti-Money Laundering and Counter-Terrorist Financing issued by the Securities and Futures Commission and strengthen our internal control, OSL **WILL NOT** accept deposits in any form of third party deposits (including but not limited to bank transfer, remittance, cheque deposit, etc.) with immediate effect.

Client please notes the following deposit arrangement:

- 1. Cheque deposit (including deposit through bank counter and cheque deposit machine) Clients have to provide the image of the cheque (the name of the client must be clearly shown), together with the deposit advice for our verification of the deposit and the information of the depositor.** If you are not able to provide us with the image of the cheque, there will be an administrative fee of HKD100 for each cheque for us to retrieve the cheque image from the bank. In addition, the fund will not be credited to your account(s) until we have obtained the information of depositor from bank and this process may require 14 business days (subject to bank for actual time needed). If the cheque is identified as third party cheque deposit, we will not credit the fund to client's account(s) irrespective to the deposit amount.
- 2. Cash Deposit**
The maximum cash deposit for each client is HKD 10,000 per day.
Client must provide a deposit slip with client's signature for our verification of the depositor. We will not credit can any suspicious deposit or cash deposit more than HKD10,000 to clients account(s) until your provision of the deposit advice to us to prove the identity of the depositor. OSL shall not be liable to client for any interests, charges, expenses, claims, losses, costs and damages arising from rejected fund deposits on a timely basis.
- 3. Other deposit method (including deposit through Bank Transfer or Chats)**
Client must provide a valid proof of the deposit to us for verification of the depositor. If clients' deposits without providing any deposit advice and the identity of the depositor, we reserve the right to obtain information from the account holder to confirm the identity of the depositor. If there is any suspicious transaction found, we will not credit the fund to clients account(s) until your provision of the deposit/ transfer advice to us to prove the identity of the depositor.

If there is any third party deposit found, OSL shall reject the deposit and arrange to return fund back to the source of deposit soonest possible. OSL shall not be liable to client or any third party for any interests, charges, expenses, claims, losses, costs and damages arising from rejected fund deposits on a timely basis.



CE No.BFY678 中央編號：BFY678

Please note that all deposit will only be deposited to Client's account for trading after passed the OSL's compliance checking process as well as complying with relevant AML regulations. Due to the control enhancement in verification process, the time required for crediting fund to client's account(s) will be lengthened. If client who want to return the deposited fund during the checking process, please contact your designated Account Executive or our Customer Services Department.

We would also like to take this opportunity to remind you that you should not pass your monies to any third party (including our AE or staffs) for fund deposit on your behalf. If we find that there is any suspicious transaction in the above circumstances, OSL has the right to refuse any suspicious transaction and reserves the right to make the final decision on the above arrangement.

If you have any enquiries, please contact your designated Account Executive or our Customer Services Department at telephone (852) 2567 0100.

Yours faithfully, Opus Securities Limited

(This is a computer generated printout and no signature is required.)