

ISI / SI Request Form 投資者 / 結算機構交收指示申請表

Please fill out this form and return it by post or by email to cs@opus-securities.com.hk. Besides, please fill out the S.I. form provided by counterparty and return it to the counterparty promptly.

請填妥本公司之交收指示表格，並寄回本公司或電郵至 cs@opus-securities.com.hk。同時，請填妥交收對手提供之交收指示表格，並即交回交收對手。

To 致: Opus Securities Limited 創富證券有限公司

Name of Client 客戶名稱: _____ Account No. 客戶編號: _____

Please input the following SI into CENTRAL CLEARING & SETTLEMENT SYSTEM (CCASS) to effect settlement with details as following:
請輸入下列指示於中央結算系統進行交收:

RECEIVE Stocks 收取股票 DELIVER Stocks 交付股票

ISI 投資者交收指示 Investor Account No. 投資者帳戶號碼: _____

<input type="checkbox"/> SI 結算機構交收指示			
Name of Counterparty 對手名稱	Counterparty's Participant ID 對手參與者編號	Contact Person 聯絡人	Tel No 電話

Stock Code 股票號碼	Name of stock 股票名稱	Quantity 股票數量	ISI / SI Fee 交收費用
#			HK\$
#			HK\$
#			HK\$
#			HK\$

Free of Payment (FOP) 無須付款交收 Delivery vs Payment (DVP) 貨銀對付交收 HK\$

Settlement Date 交收日期:	FOR OFFICE USE ONLY Purpose of SI 交收指示目的: <input type="checkbox"/> C = Broker – Custodian 經紀受托管交收 <input type="checkbox"/> M = Portfolio Management 股分組合轉移 <input type="checkbox"/> I = Investor Account 投資者帳戶
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I/We hereby agree to indemnify you against all losses which may be suffered by reason of your acting in accordance with my/our instruction set out above.
本人/吾等願為有關上述指示承擔一切後果責任

FOR OFFICE USE ONLY 公司專用		
Signature Verified by	Input By	Checked / Authorized by

Client Signature(s) 客戶簽署

Date 日期:

Counterparty information of Opus Securities Limited
創富證券有限公司之參與者資料

CCASS Participant ID	B02070
Contact Person	Settlement Department
Telephone No.	2567 5611